



PATENT

File No. 463678-00065/RBC/VEJ  
Docket No. A-64345-4/RBC/VEJ  
Application No. 09/955,844

#7

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

**Mike Xiaoli Ma**

Application No. **09/955,844**

Filed: **September 18, 2001**

For: **TAMPER EVIDENT BOTTLE  
CAP**

Art Unit: **3727**

Examiner: **To be assigned**

Docket No.: **A-64345-4/RBC/VEJ**

*Certificate of Mail (37 C.F.R. § 1.8(a))*

*I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal service on the date shown below with sufficient postage as first class mail in an envelope addressed to Assistant Commissioner For Patents, U.S. Patent And Trademark Office, P.O. Box 2327, Arlington, VA 22202*

*on August 20, 2002.*

*Patricia A. Diehl*

*Patricia A. Diehl*

**SECOND SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

Sir:

This Information Disclosure Statement is hereby submitted in accordance with 37 CFR 1.98 and pursuant to Applicant's continuing duty under 37 CFR 1.56 to bring any information which may be material to patentability of this application to the Examiner's attention. The Examiner's attention is directed to the reference(s) cited on the accompanying substitute for form PTO-1449A/PTO. Copies of the cited references are enclosed, unless otherwise noted below. It is further understood that the Examiner will also consider information that was cited or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. § 120. Notice of April 20, 1992, 1138 OG 37-41, at 37; M.P.E.P. § 609 (A)(2).

Applicant makes no representation that a search has been conducted by the Applicant, or that there is not possibly more relevant art. Applicant also makes no

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representation that the information submitted herewith is in fact material to patentability. The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 11 O.G. 13-25, at 25. Applicants have checked the appropriate boxes below.

This Information Disclosure Statement is being filed within three months of the filing date of a national application other than a continued prosecution application, within three months of the date of entry of a national stage, before the mailing date of a first Office action on the merits, or before the mailing date of a first Office action after the filing date of request for continued examination. 37 C.F.R. § 1.97(b). No fee is required.

Alternatively, this Information Disclosure Statement is being filed after the period set forth in above, but before the mailing date of any of a final action, a notice of allowance, or an action that otherwise closes prosecution in the application. 37 C.F.R. § 1.97(c).

I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2). No fee is required.

This Application relies on the earlier filing date of prior Application No. 09/900,505, filed July 5, 2001, under 35 U.S.C. § 120. It is understood that the Examiner will consider information that was submitted to or cited by the Office in such prior applications. Notice of April 20, 1992, 1138 OG 37-41, at 37; M.P.E.P. § 609 (A)(2). Copies of documents previously submitted to or cited by the Office in the prior application are not required to be provided in this application and thus are not attached. 37 C.F.R. § 1.98(d).

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The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 06-1300 (Order No. A-64345-4/RBC/VEJ).

Date: 8/20/02

Respectfully submitted,

  
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Filed Under 37 C.F.R. § 1.34(a)

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